### Comparing Locating Strategies and Effectiveness across Sample Types in Dual-Frame Surveys

Heather M. Morrison Kathleen Santos Jacquelyn George

49<sup>th</sup> Annual International Field Directors and Technologies Conference Fort Lauderdale, FL May, 2015



# **Telephone Surveys in 2015**



#### • The Problem:

Increasing number of cell-only households

#### • The Solution:

- Dual-frame (landline/cell) samples
- The Effect:
  - Decreased contact rate
  - Decreased cooperation rate
  - Increased interviewer hours
  - Increased costs

# **Telephone Surveys in 2015**



- The effect on longitudinal/follow-back surveys?
  - Will cell cases...
    - require more/less locating?
    - require different/same locating techniques?
    - require greater effort to re-contact?
    - re-contact at the same rate?
- Answers key to effective survey planning
  - Effects on staffing
  - Effects on costs

# 2011-2012 National Survey of Children's Health (NSCH)

- Survey Sponsors and Administrators
- Uses the State and Local Area Integrated Telephone Survey (SLAITS) Mechanism
- February 2011 June 2012
- Data Captured
  - 98,000 interviews with parents of children under 18
  - Dual-frame: about 30% cell phone sample
  - Locating information collected







# Follow-back Surveys



- NSCH completes as sample frame
  - Identify hard-to-reach or rare populations
  - Follow-back with previously cooperative respondents
  - Utilize locating information provided in parent survey
- Two follow-back surveys to the 2011-12 NSCH:
  - National Survey of Children in Nonparental Care (NSCNC)
  - National Survey of the Diagnosis and Treatment of ADHD and Tourette Syndrome (NS-DATA)





- 2,882 cases from NSCH identified as eligible for NSCNC based on:
  - Children reported as not living with either a biological or adoptive parent, or children reported as living in foster care, at time of NSCH interview
- Sample mix: 29% cell; 71% landline
- Field period
  - April 2013 through August 2013
  - 11 months to 26 months after NSCH contact





- 6,102 cases from NSCH identified as eligible for NS-DATA based on:
  - Children reported as ever having been diagnosed with ADHD and/or Tourette syndrome
- Sample mix: 35% cell; 65% landline
- Field period
  - January 2014 through July 2014
  - 19 months to 35 months after NSCH contact

# Locating



- Locating goals:
  - Locate original cooperative respondent, assuming that sampled child is still in household;
  - Locate child in new location if original R is no longer living with the child
- Methods
  - Case management system outside of CATI
  - Locators trained identically for landline and cell cases
  - Identification of cases requiring locating, followed by a three-tiered locating approach...

#### Locating Methods



**Identification:** Identify cases requiring locating efforts one month into data collection

**Tier 1:** Dial any alternate telephone numbers associated with the case

**Tier 2:** Internet Searches/Reverse Telephone Number Searches

Tier 3: Locating vendor searching

## **Locating Outcomes**



 Locating success evaluated by sample type using the following four performance metrics:



# Locating Outcomes



	Landline		Cell		- Total
	n	%	n	%	
Sample lines	6,011	67%	2,973	33%	8,984
1. Sent to Locating	2,775	46%	1,328	45%	4,103
2. R Located	1,748	63%	771	58%	2,519
3. Screener Completed	865	49%	322	42%	1,187
4. Interview Completed	658	38%	261	34%	919

**Cost Implications** 



#### Average Number of Call Attempts Made, by Sample Type

	Landline	Cell	Cell Multiplier
Cases <u>not</u> sent to			
locating	8.4	9.3	1.11
Cases sent to			
locating	16.2	19.2	1.19
All cases	12	13.7	1.14

**Cost Implications** 



#### Interviewer Hours Required per Complete, by Follow-back Survey

			Cell
	Landline	Cell	Multiplier
NSCNC	1.67	1.94	1.16
NSDATA	2.08	2.3	1.11

# Conclusion



#### Limitations

- Different respondent populations across surveys
- Different lengths of time between NSCH survey and follow-back contact
- Consider sample-specific training based on sample type.
  - Will cell and landline cases continue to behave differently, or would sample-specific training mitigate these differences?

Heather Morrison morrison-heather@norc.org







